

# BEST AVAILABLE COPY

Interference No. 104,761  
University of New Mexico v. Fordham University

Filed on behalf of Junior Party UNIVERSITY OF NEW MEXICO

Paper \_\_\_\_\_

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE BOARD OF PATENT APPEALS  
AND INTERFERENCES  
(Administrative Patent Judge Torczon)

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UNIVERSITY OF NEW MEXICO  
(5,747,332 and 6,066,716)

Junior Party,

v.

FORDHAM UNIVERSITY  
(09/090,754),

Senior Party.

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Patent Interference No. 104,761

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## UNIVERSITY OF NEW MEXICO PRELIMINARY STATEMENT

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## **UNIVERSITY OF NEW MEXICO PRELIMINARY STATEMENT**

The University of New Mexico ("UNM") is the real party in interest in the present interference. Erik S. Wallen, Jan Roigas and Pope L. Moseley, the named inventors, made the invention described in United States Patents 5,747,332 and 6,066,716 in the United States of America. Pursuant to 37 C.F.R. § 1.623, UNM sets forth the following facts as to the invention defined by the interference counts:

- (1) There is no drawing of the invention since it is a method not amenable to being drawn.
- (2) The first written description of the invention was made on or about April 22, 1996. A copy of the first available written description of the invention is in a dated and witnessed laboratory notebook entry, which is attached hereto.
- (3) The invention was first disclosed by the inventors to another person on or about April 22, 1996.
- (4) The invention was first conceived on or about April 22, 1996.
- (5) The invention was first actually reduced to practice on or about April 29, 1996. The invention was constructively reduced to practice in the United States when U.S. Serial No. 08/717,239, now U.S. Patent 5,747,332, was filed on September 20, 1996 in the United States Patent and Trademark Office. U.S. Patent 6,066,716 issued from U.S. Serial No. 08/934,139 which is a division of U.S. Serial No. 08/717,239.

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- (6) The active exercise of reasonable diligence toward reducing the invention to practice began on April 22, 1996.

Date: May 17, 2002

Respectfully submitted,

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## CERTIFICATE OF ELECTRONIC TRANSMISSION

I hereby certify that a true copy of the foregoing **UNIVERSITY OF NEW MEXICO**  
**PRELIMINARY STATEMENT** was transmitted electronically, with a requested  
delivery date of May 20, 2002, before 10:00 am EST,

APJ Richard Torczon at:

BoxInterferences@USPTO.GOV

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Paul Adams, Reg. No. 21,096

4-22-96

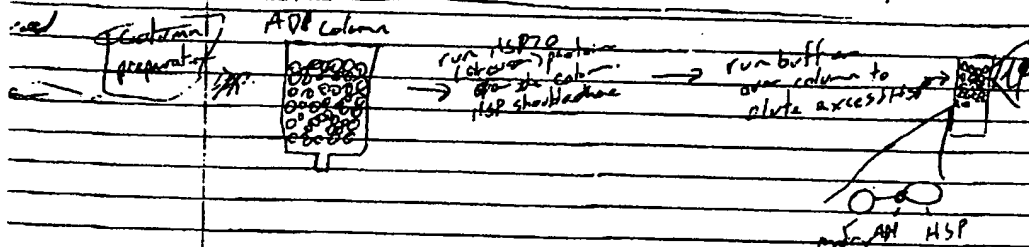
21

Purification of peptides

HSP carrier therapy

Scrivania suspects that HSP 70 and GIP96 are carriers that present antigens to immune cells. He has ~~attempted~~ purified HSP's and incorporated proteins and found them to be effective against the same tumor in mice.

- 1) If it is the HSP presentation of the peptide, why worry about the cells on HSP, why not use exogenous HSP?
- 2) The recognition must depend on the surface presentation of the antigen, why not ignore the cytosolic proteins only?



- 9/6/97 [Sample Prep] - 1) Do standard membrane prep to isolate proteins expressed on the surface
- 2) treat proteins w/ Trypsin (acetic protease?) to create peptides.
  - 3) filter out Trypsin.
  - 4) apply to column

recovered 2/10/98  
G. L. Smith

22

9-22-96 cont.

Sample applied to problem should stick  
to HSPs lining the column.

OIM HSP peptide  
active

[Elution of sample] Elute the sample w/  
a buffer containing APP.

Then use the HSP-peptide eluent to  
inoculate the rats.

Discussion w/ Jan about pilot experiment  
- 8 rats 2 - saline injection, 2 - HSP injection,  
2 - peptide injection, 2 - HSP-peptide injection  
- The rats will be given the Dunning Tumor  
cells because they are the most convenient.

Possible Problems: 1) The Dunning tumor  
is not the rats own tumor so it maybe  
more inclined to develop immunity to it. The  
peptide only control should help to show this.  
2) Can we use Human HSP 70? or  
do we need to specify the HSP 70  
from the rats cells in order to remain  
within species?  
3) If we use the Streptozotocin HSP 70,  
is this the isotype that participates  
in the antigen presentation.

f. G. 6/2/96

4-23-96

23

Discussed the column idea w/ Pope & ~~the~~  
~~see~~ ordered the materials from VWR at

Sigma & VWR: KT 420 400-0704 columns

KT 420163-4503 stopcocks

Sigma: A2751 ADP 5' Phosphate 1g

A2910 ADP C-5' linked Agarose 1ml

P. ~~But~~ we have Biorix HSC 70 (stressgen) in the  
 freezer although it is quite old.

The initial experiments will be:

1) run the HSC 70 over the ADP column and  
 see if it sticks, if it elutes w/ ADP  
 containing buffer - test by O.D. and western.

2) ~~run~~ if it works, run extraction during  
 the peptide elution from the HSP protein column and  
 see if they stick, test by O.D. and western.  
 - If 2nd does not work, try run with  
 human recombinant HSP 70 from stressgen  
 to redo the experiment.

Tom's suggestion: test the ability of the  
 HSP to bind by treating the complex  
 ATP - see if the peptides are elutable  
 before - w/o 5' experiment

p. 212 12/2/96